JC04 Rec'd PCT/PTO 22 SEP 2005

FORM PTO-1390 (Modified) (REV. 2-2005) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE TRANSMITTAL LETTER TO THE UNITED STATES 050508-1360 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DA PCT/US2004/009548 29 March 2004 27 March 2003 TITLE OF INVENTION HIF-1 INHIBITORS APPLICANT(S) FOR DO/EO/US VAN MEIR, Erwin; TAN, Chalet; ROECKER, Anthony; and NICOLAOU, Kyriacos C. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: Ø This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), 3. (9) and (24) indicated below.  $\square$ The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. 🗆 is attached hereto (required only if not communicated by the International Bureau). b. 🗆 has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. 🗆 is attached hereto. b. 🗆 has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. 🗆 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 8. 9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: 13. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. 16. 17. A substitute specification. 18. A power of attorney and/or change of address letter. 19. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).  $\square$ 22. Express Mail Label No. EV628221768US 23. Other items or information: Return Postcard; PCT/IB/304; PCT/IB/301; Copy of Request for Rectification of a Change Under PCT Rule 91.1; PCT Request Forms; Credit Card Authorization Form; Certificate of Express Mail

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PTO-1390 (Rev. 02-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. U.S. APPLICATION NO (1490% \$4 37 CER 16) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/US2004/009548 050508-1360 **CALCULATIONS** The following fees are submitted: **PTO USE** \$300 \$300.00 Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$100.00 \$100 \$200 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to \$100 International Search Report prepared and provided to the Office . . . . . . . . . . . . . \$400 \$500 \$100.00 TOTAL OF 24, 25 and 26 = \$500.00 Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or **Total Sheets** Extra Sheets RATE fraction thereof (round up to a whole - 100 = /50 = \$250.00 \$0.00 Surcharge of \$130.00 for furnishing the oath or declaration later than months from the \$130.00 earliest claimed priority date (37 CFR 1.492(e)). **CLAIMS NUMBER FILED** NUMBER EXTRA Total claims 23 - 20 = X \$50.00 \$150.00 2 Independent claims - 3= 0 \$0.00 x \$200.00 MULTIPLE DEPENDENT CLAIMS (if applicable) П \$360.00 \$0.00 **TOTAL OF ABOVE CALCULATIONS =** \$780.00 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$390.00 reduced by 1/2. \$390.00 SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from \$0.00 the earliest claimed priority date (37 CFR 1.492(f)). \$390.00 **TOTAL NATIONAL FEE =** Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be \$0.00 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property **TOTAL FEES ENCLOSED =** \$390.00 Amount to be Amount to be a. A check in the amount of \$ to cover the above fees is enclosed. b. Please charge my Deposit Account No. in the amount of to cover the above fees. A duplicate copy of this sheet is enclosed. c. X The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. . A duplicate copy of this sheet is enclosed. 20-0778 Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card d. 🔀 information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a pertion to vevive (37 CFR 27137(a) or (b)) must be filed and granted to restore the International Application to pending statut SEND ALL CORRESPONDENCE TO: LINDER, Christopher B. THOMAS, KAYDEN, HORSTEMEYER & RISLEY, LLP Christopher B. Linder 100 Galleria Parkway, N.W., Suite 1750 NAME Atlanta, GA 30339-5948 47,751 **REGISTRATION NUMBER** 

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10/550286

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re:

Van Meir et al.

For:

**HIF-1 INHIBITORS** 

## **CERTIFICATE OF EXPRESS MAIL**

Mail Stop PCT (DO/EO/US) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Enclosed for filing in the above case are the following documents:

Return Postcard; Transmittal Letter to the US Designated Office (DO/EO/US) (3 Pages); Credit Card Authorization Form; Preliminary Amendment PCT Request Forms; International Search Report and Written Opinion; PCT/IB/304; PCT/IB/301; and Copy of Request for Rectification of a Change Under PCT Rule

Further, the Commissioner is authorized to charge Deposit Account No. 20-0778 for any additional fees required. The Commissioner is requested to credit any excess fee paid to Deposit

Account No. 20-0778.

Respectfully submitted,

Christopher B. Linder Reg. No. 47,751

THOMAS, KAYDEN, HORSTEMEYER & RISLEY, L.L.P.

100 Galleria Parkway, N.W.

**Suite 1750** 

Atlanta, Georgia 30339-5948

Our Docket No: 050508-1360

I hereby certify that all correspondences listed above are being deposited for delivery to the above addressee, with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 CFR §1.10 on the date indicated below:

The envelope has been given U.S. Postal Service "Express Mail Post Office To

Addressee" Package # EV628221768US.

## **10/5** 50 28 6 JC14 Rec'd PCT/PTO 22 SEP 2005

Filed/Date Mailed: August 19, 2004 Applicant: Emory University Docket #: 050508-2320 Title: HIF-I INHIBITORS EM#: EV437537615US	Due Date: PCT #: PCT USO4 / D 9548 Parent Applic.:
PATENT AND TRADEMARK OFFICE (RECEIVING OFFICE) STAMP HEREON ACKNOWLEDGES RECEIPT OF THE BELOW IDENTIFIED APPLICATION AND PAPERS;  Certificate of Mailing	USPTO STAMP
Fees: Fees: \$	·
Fee Calculation Sheet  PCT/RO/101 () Pages  PCT Application Consisting of:  Pages of Specification  Pages of Claims  1 Page of Abstract  Pages of Dwgs.  Formal [ ] Informal	General Appointment Pow. of Att. Orig. Copy Response to Invitation to Correct Defects Including: Replacement Pages () Specification ()
Article 19 Amendment Including: Article 34 Amendment Including Explanation of Replac. Pgs. Replacement Pages () Other:	Claims ()  Abstract Formal Drawings ))  Identify Serial Number  1st Prior. 2nd Prior. Declaration/Power Attorney Original Copy
<ul> <li>□ Demand - Article 31 Including:</li> <li>□ Demand for Chapter II</li> <li>□ Copy of filed Art. 19/34 Amd.</li> <li>□ Other:</li> </ul>	US Designated/Elected Office 1st Subm. 2nd Subm. Copy of Intern'l Application
Response to Written Opinion Explanation of Replac. Pgs. Replacement Pages	Amendment to Claims () Copy Int'l SX Report Oath/Declaration Other:
Other: Request for Rect Under PCT Rule 91.1	Remembered Clause
Person Mailing: LLO Trans. Ltr.	Responsible Atty: CBL/CV



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